

Frailty and nutritional status in institutionalized elderly patients with neurodegenerative disorders

Diana Miranda ^{1,2}, Vânia Costa ³, Rita Cardoso ^{1,2}, Nilza Gonçalves ^{2,4},
Ana Castro-Caldas ^{1,4}, Joaquim J. Ferreira ^{1,2,4}

¹ CNS- Campus Neurológico,
Torres Vedras, Portugal

² Laboratory of Clinical Pharmacology and
Therapeutics, Faculdade de Medicina,
Universidade de Lisboa, Lisbon, Portugal

³ Instituto Politécnico de Lisboa,
Escola Superior de Tecnologia da
Saúde de Lisboa, Lisbon, Portugal

⁴ Instituto de Medicina Molecular,
Faculdade de Medicina, Universidade
de Lisboa, Lisbon, Portugal

✉ **Corresponding author:**

Diana Miranda
CNS- Campus Neurológico,
Torres Vedras, Portugal
Bairro de Santo António 47, 2560-280
Torres Vedras, Lisboa, Portugal
Tel: +351 261330701
E-mail: diana.santos.miranda@gmail.com



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ABSTRACT: **Introduction:** Frailty is a geriatric syndrome defined as a state of increased vulnerability to negative health outcomes that is considered the most powerful predictor of disability, dependence, institutionalization and death, and so considered a major health burden. Malnutrition has been described to be independently associated with frailty. **Objectives:** Primary objective was to describe the frequency of frailty in institutionalized patients with neurodegenerative disorders. Secondary objectives were to describe the frequency of undernutrition and to evaluate the correlation between frailty and nutritional status. **Methods:** A cross-sectional observational pilot study was performed. All patients aged 65 years and older with at least one neurodegenerative disorder admitted in CNS- Campus Neurológico were included. A nutritional assessment, through the Mini Nutritional Assessment (MNA), anthropometric measurements and the Edinburgh Feeding Evaluation in Dementia Questionnaire (EdFED-Q), and a frailty assessment, through the Marigliano-Cacciafesta Polypathological Scale (MCPS) and the Clinical Frailty Scale (CFS), were conducted. **Results:** 76 participants were included with a mean age of 76±6.8 years. Parkinsonian syndromes represented 82.9% of the sample. The frequency of frailty was 71.1%. Patients with atypical parkinsonism were significantly frailer than patients with Parkinson's disease (PD) (85.7 and 60%, respectively). 69.3% of the patients with dementia were frail. The frequency of undernutrition (and risk of) was 73.7%. Although not statistically significant, undernutrition was more frequent in dementia syndromes, followed by atypical parkinsonism and PD (30.8, 21.2 and 10%, respectively). Significant correlations were found between all the nutritional assessment parameters and the MCPS, being the strongest with the MNA and the EdFED-Q. **Conclusions:** The prevalence of frailty in institutionalized patients with neurodegenerative disorders is high, along with the prevalence of undernutrition. Frailty and nutritional status parameters share significant correlations.

KEY WORDS: Frailty; Elderly; Nutritional status; Parkinsonism; Dementia

INTRODUCTION

Frailty is a common geriatric syndrome that results mostly from the cumulative decline of multiple physiological systems and their reserves that are associated with the ageing process^[1-6]. This decline manifests as a state of increased vulnerability to negative outcomes when facing a stress, this increased vulnerability is due to the decreased ability to regain homeostasis and functional abilities^[1,5-10].

Common symptoms of frailty are extreme fatigue, unintended weight loss, frequent infections, slow gait, muscle weakness, and low energy expenditure^[1,7,11]. Balance and gait impairments, fluctuating confusion, delirium, and impaired awareness are considered major features of frailty and may occur as outcomes of frailty after a stress event^[1,12-14].

The prevalence of frailty increases with age: it is estimated that 7% of adults aged 65 years are frail worldwide, while in those over 80 years it increases to 20%^[1,4,11,15]. In healthcare institutions this prevalence is expected to be higher, and despite the heterogeneous results from the few studies that have been conducted, it is estimated that frailty affects nearly half the residents of healthcare institutions^[16-18].

The physiopathology of frailty is a complex multifactorial process^[1,11]. Although universal consensus regarding the operational criteria for assessing frailty is lacking, the physical model, known as the Frailty Phenotype ^[FP], is the most used and cited instrument to assess frailty, mainly in community-dwelling settings^[19-22]. To assess all diagnostic criteria from the FP, the person must be able to comply, both physically and mentally, to perform the required tasks, consequently the validation study excluded patients with Parkinson's disease ^[PD], stroke, a history of depression, and cognitive impairment ^[CI]^[7,23].

Most of the validation studies for other instruments that assess frailty excluded dementia or CI and/or PD, making it difficult to assess frailty with validated instruments in this population^[24].

Amici and colleagues designed an 11-item scale, the Marigliano-Cacciafesta Polypathological Scale ^[MCPS], that assesses the presence and severity of frailty by identifying and classifying the possible severity of disorders related to 11 physiological systems (such as neurological disorders, respiratory, renal, metabolism and nutritional status, and cognitive state and mood)^[2].

Frailty and neurodegenerative diseases, such as PD and dementia syndromes, share common symp-

toms like balance and gait impairments, delirium, fluctuating confusion, impaired awareness, and disability that fluctuates over time^[7,11,25,26]. For these reasons, it seems reasonable to hypothesize that the prevalence of frailty in this population is high^[7,11,25,26].

The prevalence of malnutrition in the elderly is heterogeneous and rises as the level of care increases^[27-30]. Nutritional status and frailty share a close relationship, being estimated that 90% of community-dwelling elders at risk of malnutrition are either prefrail or frail^[31]. Malnutrition seems independently associated with frailty^[32].

The primary objective is to describe the frequency of frailty in institutionalized patients with neurodegenerative disorders in the moment of admission in a healthcare institution. The secondary objectives are: a) To describe the frequency of undernutrition and risk of undernutrition; b) To evaluate the correlation between frailty and nutritional status; c) To evaluate the correlation between the MCPS and CFS.

MATERIALS AND METHODS

Study design and population

A cross-sectional observational pilot study was performed. All patients 65 years and older who were consecutively admitted to CNS - Campus Neurológico with at least one of the following neurodegenerative disorders were included:

- Dementia syndromes, such as Alzheimer's disease (AD), frontotemporal dementia (FTD), vascular dementia (VD), or other non-specified dementia syndromes;
- Parkinsonian syndromes, such as PD, Lewy body dementia (LBD), progressive supranuclear palsy (PSP), corticobasal degeneration (CBD), multiple system atrophy (MSA), or vascular parkinsonism (VP);
- Motor neuron disease (MND).

This study was approved by both the Scientific Board of the Faculty of Medicine of the University of Lisbon (FMUL) and by the Ethics Committee of CNS-Campus Neurológico (CNS) in 21st November 2017 and 1st February 2018, respectively.

Written informed consent to participate in the study was provided by all patients who met the inclusion criteria. This consent was obtained from a legal representative if the patient had dementia.

Data collection and Assessment protocol

Data collection was performed for 4 months within the first 24-48 hours after the patient was admitted. Sociodemographic information was collected, as was the main neurodegenerative disorder that had been diagnosed and its severity rated according to:

- The Hoehn & Yahr scale (H&Y) for parkinsonian syndromes^[33]
- The Clinical Dementia Rating (CDR) for dementia syndromes^[34]

Frailty was assessed with the MCPS and the Clinical Frailty Scale (CFS). Global frequency of frailty according to the MCPS was considered as the sum of medium-severe, severe and very severe states of the assessment tool, while for CFS a score of 4 or more.

Nutritional status was assessed with the Mini Nutritional Assessment (MNA), Body Mass Index (BMI) and the Edinburgh Feeding Evaluation in Dementia Questionnaire (EdFED-Q).

Statistical analysis

The distribution of the data was analysed by skewness and kurtosis, and normal distribution was considered when the variable followed a symmetric and mesocuric presentation.

All data was analysed using descriptive statistics: categorical variables through relative frequencies, and continuous variables through mean and standard deviation.

The Spearman's correlation test was used to assess the following correlations:

- The correlation between the MCPS and the CFS
- The correlation between the CFS and the BMI, MNA, and the EdFED-Q

The Pearson's correlation test was used to assess the following correlations:

- The correlation between the MCPS and the H&Y (severity of parkinsonian syndromes)
- The correlation between the MCPS and the CDR (severity of dementia syndromes)
- The correlation between the MCPS and the BMI, MNA and the EdFED-Q
- The correlation between the H&Y and the MNA and the EdFED-Q

To assess differences between the mean values of independent groups, the Mann-Whitney test was used for the following variables: age, MCPS score, MNA score, BMI value, and the EdFED-Q. The difference between median values of categorical variables such as the H&Y and the CFS with the Chi square test.

Statistical significance was considered ≤ 0.05 for all tests.

RESULTS

A total of 76 participants (69.7% males) were included in this study at the moment of admission, from which 82.9% were Parkinsonian syndromes. No participant with MND was included. Due to the low number of participants with PSP, LBD, MSA, CBD, VP, and non-specified parkinsonian syndromes, all these diseases were grouped in a single group: "Atypical parkinsonism"^[35].

Frailty

According to the MCPS and the CFS most of the participants were medium-severely frail (46.1%) and severely frail (44.7%), respectively (table 1).

Table 2 displays data regarding the sociodemographic information, clinical data, and descriptive analysis of frailty and nutritional status.

TABLE 1. Frailty frequency according to the Marigliano-Cacciafesta Polypathological Scale and to the Clinical Frailty Scale.

Marigliano-Cacciafesta Polypathological Scale (n=76)		Clinical Frailty Scale (n=76)	
Classification	Frequency (%)	Classification	Frequency (%)
Slight	6.6 (n=5)	Managing well	3.9 (n=3)
Medium	22.4 (n=17)	Vulnerable	9.2 (n=7)
Medium-severe	46.1 (n=35)	Mildly frail	10.5 (n=8)
Severe	15.8 (n=12)	Moderately frail	27.6 (n=21)
Very severe	9.2 (n=7)	Severely frail	44.7 (n=34)
		Very severely frail	3.9 (n=3)



TABLE 2. Sociodemographic and clinical data of the participants in the admission moment.

		Parkinsonian syndromes (n=63)						Dementia syndromes (n=13)				<i>p</i> ^b		
		All participants (n=76)	Parkinson's disease (n=30)	Atypical parkinsonism (n=33)	<i>p</i> ^a	Atypical parkinsonism diagnoses				Alzheimer's disease (n=5)	FTD (n=4)		Non-specified dementia syndrome (n=4)	
						LBD (n=12)	PSP (n=5)	MSA (n=4)	Corticobasal degeneration (n=3)					Vascular parkinsonism (n=2)
Age (years)	76±6.8	75.1±5.5	75.8±7.4	0.68 ¹	78.4±8.4	76.6±7.8	72±6.6	74.7±4.0	72.0±1.4	74.6±7.7	80.0±6.6	82.5±10.7	73.0±2.9	0.18 ¹
Gender (female/male)	23/53	7/23	–	–	2/10	1/4	0/4	½	1/1	4/3	4/1	2/2	1/3	–
Severity of the disease														
<i>Hoehn & Yahr</i>	4 (4)	3 (4)	5 (4)	0.05 ^{2*}	4 (4)	5 (2)	4.5 (2)	5 (0)	4.5 (1)	5 (3)	–	–	–	–
<i>Clinical dementia rating</i>	2 (2.5)	–	–	–	–	–	–	–	–	–	2 (1)	2.5 (1)	2 (2.5)	–
Frailty														
<i>MCPS</i>	38.3±21.0	31.8±18.1	45.2±22.5	0.01 ^{1*}	43.6±19.9	37.6±17.5	49.5±21.1	76.0±26.9	42.0±29.7	38.1±23.4	26.2±14.8	48.3±24.2	35.0±17.5	0.76 ¹
<i>Clinical Frailty Scale</i>	3 (5)	3 (5)	2 (5)	0.31 ²	2 (4)	2 (2)	2 (1)	2 (1)	3 (0)	2 (4)	3 (3)	2.5 (1)	2.5 (2)	0.89 ²
Nutritional status														
<i>MNA</i>	20.3±5.0	21.3±4.7	19.8±5	0.18 ¹	19.7±4.1	21.4±3.9	17.8±6.6	14.3±6.3	25.0±0.7	20.9±5.3	18.6±6.5	19.9±6.9	19.5±3.2	0.46 ¹
<i>Body mass index</i>	26.1±5.3	26.3±5.1	26.3±5.8	0.69 ¹	26.9±7.3	26.2±2.6	25.9±4.9	21.2±5.0	31.3±10.3	26.4±4.2	22.1±2.2	28.5±6.8	25.3±2.9	0.51 ¹
<i>EdFED-Q</i>	3.7±3.7	2.6±3.4	4.5±4	0.01 ^{1*}	4.1±3.1	2.8±3.1	4.8±4.1	8.7±6.4	1.5±0.7	5.4±5.0	4.2±1.9	6.0±4.2	2.5±1.9	0.22 ¹

Mean values ± standard deviation; Median values (interquartile range); MCPS (Marigliano-Cacciafesta Polypathological Scale); MNA (Mini Nutritional Assessment); SGA (Subjective Global Assessment); EdFED-Q (Edinburgh Feeding Evaluation in Dementia Questionnaire); LBD (Lewy Body Dementia); PSP (Progressive Supranuclear Palsy); MSA (Multiple Systems Atrophy); FTD (Frontotemporal dementia).

^a *p* value for the comparison between Parkinson's disease and atypical parkinsonism groups;

^b *p* value for the comparison between parkinsonian and dementia syndromes groups;

¹ *p* value for the Mann-Whitney test for independent samples;

² *p* value for the Chi square test for independent samples;

* Significant

Severe and very severe frailty was higher in atypical parkinsonism, followed by dementia syndromes (figure 1).

The MCPS score and the CFS classification were statistically significant correlated ($rs = -0.665$; $p = 0.000$). This correlation was stronger in dementia syndromes ($rs = -0.773$; $p = 0.002$), followed by atypical parkinsonism ($rs = -0.635$; $p = 0.000$) and PD ($rs = -0.501$; $p = 0.005$).

Nutritional status

The global frequency of undernutrition (and risk of) was 73.7% according to the MNA. Despite no statistically significant differences between parkinsonian and dementia syndromes, undernutrition seem more frequent in dementia (84.6%) followed by atypical parkinsonism (75.7%) (figure 2).

Most of the patients with PD were obese (30%) according to the BMI, while atypical parkinsonism were more frequently normal (30.3%) and dementia syndromes undernourished (23.1%) (figure 3).

Frailty and Nutritional status

Significant correlations were found between the nutritional assessment parameters and the MCPS. The MNA and the EdFED-Q scores both showed a strong correlation with the MCPS (table 3).

DISCUSSION

Frailty

In the present study, the frequency of frailty in institutionalized patients with neurodegenerative disorders is high (71.1%).

Due to the lack of studies examining frailty in institutionalized patients with neurodegenerative disorders it is difficult to compare our results^[16]. However, the prevalence found in our study was considerably higher than in previous studies with community-dwelling older adults, since the overall prevalence of frailty in 10 European countries is 17%^[15,31].

There is scant evidence on the prevalence of frailty in nursing homes, possibly due to the practical limitations of using screening tools: the majority of tools require the physical or mental collaboration of the patient, which may be difficult in institutionalized individuals. The high levels of dependence, comorbidity, disabilities, and malnutrition over long-term care may contribute to making such screening difficult^[16].

Frequency and severity of frailty

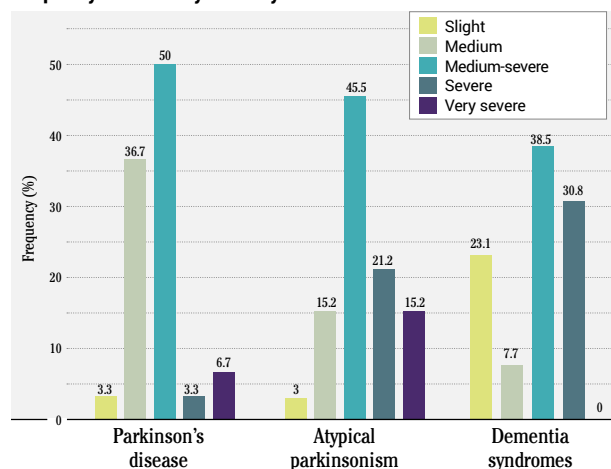


FIGURE 1. Frequency of the severity of frailty assessed by the Marigliano-Cacciafesta Polypathological Scale (MCPS) according to the neurodegenerative disorder (n=76).

Nutritional status according to the MNA

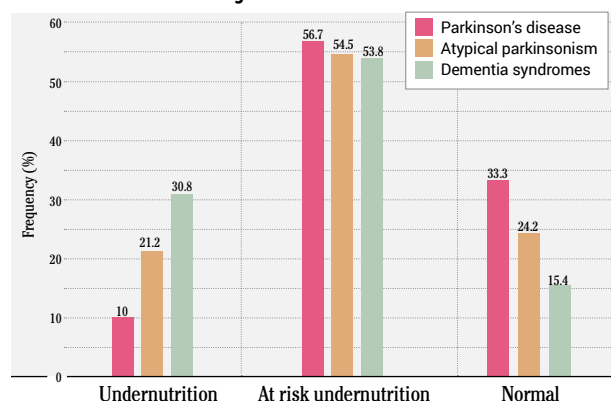


FIGURE 2. Frequency of nutritional status according to the Mini Nutritional Assessment (MNA) for the different neurodegenerative disorders (n=76).

Nutritional status according to the BMI

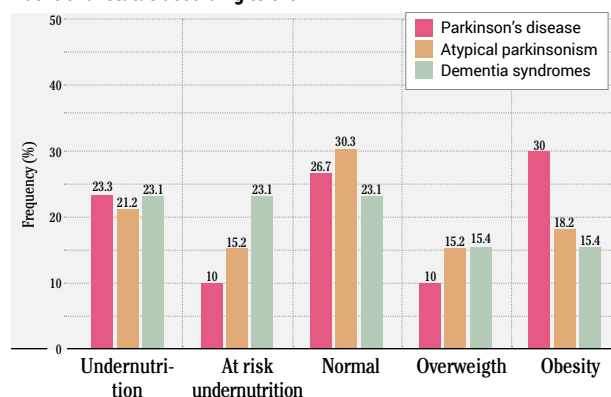


FIGURE 3. Frequency of nutritional status according to the body mass index (BMI) for the different neurodegenerative disorders (n=76).

TABLE 3. Correlations between frailty scales and nutritional parameters.

	Body mass index	MNA	EdFED-Q
MCPS	r= -0.363**	r= -0.732**	r= 0.714**
CFS	rs=0.227*	rs=0.629**	rs= -0.689**

MCPS (Marigliano-Cacciafesta Polypathological Scale), CFS (Clinical Frailty Scale), MNA (Mini Nutritional Assessment), EdFED-Q (Edinburgh Feeding Evaluation in Dementia Questionnaire). * $p < 0.05$ ** $p < 0.01$

A systematic review published by Kojima and colleagues assessed 9 studies of institutionalized elderly patients and estimated the prevalence of frailty to be 52%, and prefrailty to be 40% according to different assessment criteria (Frailty Phenotype, Clinical Frailty Scale, Edmonton Frail Scale, and two others)^[16]. In our institutionalized elderly sample (average age of 76±6.8 years), the frequency of frailty was considerably higher, despite our sample being younger than those in the aforementioned systematic review (80.3 years)^[16]. This suggests that the load of neurodegenerative disorders may influence the risk and/or severity of frailty even in those below the age of 80 years. However, it is impossible to make any conclusions as the clinical characteristics of the population pooled by the systematic review were not described^[16].

Although few studies using the MCPS have been published, this tool has been considered useful for screening frailty and to program an intervention/rehabilitation as it allows for the severity of frailty to be stratified^[36,37].

In our sample, participants with atypical parkinsonism presented more severe frailty than PD. Also, the atypical parkinsonism participants had greater disease severity compared to those with PD. These differences are in concordance with the literature^[38-42]. Atypical parkinsonism usually has a faster and more severe progression than PD, with a poor response to dopaminergic treatment, a worse prognosis, shorter survival, and more complications in the early stages^[35,42]. Motor features such as early postural instability and falls, early dysarthria and dysphagia, dystonia, and impaired response to levodopa treatment are frequent in atypical parkinsonism, along with early and severe cognitive and behavioral changes, apraxia, hallucinations, orthostatic hypotension, and urinary dysfunction^[35].

In our study, the frequency of frailty in patients with Parkinsonian syndromes was 70.6% (corresponds

to the sum of medium-severe, severe, and very severe frailty). Specifically, in PD the frequency was 60% and in atypical parkinsonism 85.7%.

In a sample of 133 patients in an acute hospital setting with an average age of 74 years, the frequency of frailty was 75.9%, which is similar to our results although assessed with different criteria^[43]. Also, 76.7% of those patients were malnourished and at risk of malnutrition^[43].

Although moderate, we found a statistically significant correlation between frailty and the severity of Parkinsonian syndromes^[44]. A small number of studies have described the prevalence of frailty in PD, and some have demonstrated that women with PD have a higher risk of frailty than men^[25,26,45,46]. Despite this, the prevalence of frailty in PD has been reported to be high (69.4%)^[47]. Furthermore, the severity of PD assessed with the unified Parkinson's disease rating scale and levodopa dose seems higher in frail patients^[22,46,48].

The high frequency of frailty found in our study was expectedly high since some of the clinical features of Parkinsonian and dementia syndromes are considered major risk factors for frailty and are part of several assessment tools. Slow gait speed is a common feature of Parkinsonian syndromes, along with postural instability, risk of falls, and balance impairment^[49-51]. Depression, cognitive decline, malnutrition, and urinary dysfunction may also occur especially in advanced stages^[46,52-54]. Since most of our participants were rated as having a high severity of the neurodegenerative disease, this frequency seems reasonable.

Frailty in this specific population is frequent and particularly more severe in patients with atypical parkinsonism. These results highlight the importance of an early screening for frailty.

Nutritional status

The frequency of undernutrition and the risk of undernutrition according to the MNA in our study is also high, and in concordance with a previous study performed in similar population (73.7 versus 77.1% respectively^[55]), and, in general, higher than published studies in nursing homes or community^[28,29,31,32,55-58].

Besides the wide variation, depending on the applied methodology, in PD patients the general prevalence of malnutrition varies between 0-24% while 3 to 60% are estimated to be at risk^[59]. When assessed with the MNA, the variation between studies decreases to 0-2% of malnourished and 20-34% at risk^[59]. Our results

in PD patients regarding undernutrition and risk of undernutrition (66.7%) were similar to the ones obtained in a sample of 34 institutionalized PD elders, where 62% were malnourished or at risk at the admission according to the MNA^[55].

Body weight and PD share a relation that is still unexplained^[52]. Weight loss is frequent, especially in advanced stages of the disease, and it has been shown that weight loss and low body weight (and BMI) are associated with a higher risk of developing dyskinesia due to the higher ratio of levodopa dose per kilogram ($>6\text{mg/kg}$)^[60]. Also, weight loss is associated with mortality and poor quality of life^[60,61].

The frequency of undernutrition or risk of undernutrition in patients with LBD in our study ($n=12$) was 83.3%, which is higher than the one found by Roque and colleagues in a community setting (77.3%)^[62].

Regarding dementia syndromes, 84.6% of the patients were undernourished or at risk according to the MNA. Specifically, in AD patients ($n=5$), 80% was undernourished or at risk of undernutrition. Despite the small number of patients with AD included, this frequency is higher than the one found in community-dwelling AD elders (varies from 14.1 to 55.9%)^[62].

Frailty and Nutritional Status

Interestingly, the general frequency of undernutrition (or risk of) is very similar to the frequency of frailty. This goes in favour of the strong correlation between MNA and MCPS that was demonstrated in our study ($r = -0.732$; $p < 0.01$) and in line with previous studies regarding the correlation between nutritional status and frailty^[31,43]. The MNA assesses several risk factors for frailty, namely weight loss and low BMI, reduced mobility, and low nutritional intake. In the parkinsonian syndromes, the undernourished participants were also the ones with more severe frailty while the patients at risk of undernutrition were also medium-severely frail. In dementia syndromes similar tendency was verified.

On the other hand, the correlation between BMI and MCPS was weak ($r = -0.363$; $p < 0.01$). In the MCPS, nutritional status can be assessed with the MNA or the BMI, however the considered BMI cut-offs are commonly used for adults and not for elders. This means that an elder can be mistakenly considered overweight instead of normal since the reference value for normal in older adults is $24\text{--}26.9\text{kg/m}^2$ that is close to overweight cut-offs in adults ($25\text{--}29.9\text{kg/m}^2$). Despite this, in our study we also found a U-shaped relation between frailty and

BMI^[63–65]. This relation was more obvious in parkinsonian syndromes than in dementia syndromes possibly due to the differences in the number of participants in both groups.

CONCLUSIONS

The frequency of frailty in institutionalized patients with neurodegenerative disorders is, as expected, high. Similar frequency of undernutrition (or risk of) was found. Nutritional status and frailty seem to be significantly correlated. Since inadequate nutrition and/or poor nutritional status are potentially treatable causes for frailty, it seems reasonable to further investigate the effects of therapeutic nutritional interventions to prevent and to treat frailty.

CONFLICT OF INTEREST STATEMENT AND FUNDING

SOURCES: All authors each declare no conflicts of interest and have approved the final article. This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

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