

# Misdiagnosis of Surgical Conditions in ALS Patients: Analysis of a single-center experience and review of the literature

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**ABSTRACT:** **Introduction:** In amyotrophic lateral sclerosis (ALS) late or incorrect diagnosis significantly reduces the therapeutic window, while also increasing the risk of inappropriate interventions, with a negative impact on disease progression rate. **Objectives:** We aim to identify and characterize the clinical profile of ALS patients followed in our center who underwent surgeries due misdiagnosis, and to review the literature. **Methods:** We conducted a prospective observational study of patients newly diagnosed with ALS at our center between 2021 and 2024. Patients were categorized into two groups: those who underwent surgical intervention (Surgery Group, n=17) and those who did not (non-Surgery Group, n=284). Variables analyzed included demographic characteristics, onset region, diagnostic delay, baseline disease progression rate ( $\Delta$ FS), the first specialist consulted, upper motor neuron (UMN)/lower motor neuron (LMN) predominance, and presence of fasciculations at onset. English medical literature was reviewed. **Results:** Of 301 ALS patients, 17 (5.6%) underwent surgery due to initial symptoms. These patients had a significantly longer diagnostic delay (median 14.95 vs. 8.99 months,  $p=0.010$ ) and all had spinal-onset ALS ( $p=0.014$ ). No significant differences were found in sex ( $p=0.354$ ), progression rate ( $p=0.453$ ), UMN/LMN predominance ( $p=0.708$ ), or fasciculations at onset ( $p=0.129$ ). **Conclusion:** Surgical misdiagnosis in ALS, particularly in spinal-onset cases, remains a clinical concern. Surgeries may delay diagnosis and bypass early neurological assessment. We advocate for increased ALS awareness among non-neurologists and emphasize the necessity of neurological evaluation prior to elective spinal surgery in patients exhibiting progressive motor symptoms.

**KEYWORDS:** Amyotrophic Lateral Sclerosis; Diagnostic Errors; Disease Progression; Surgical Procedures

## INTRODUCTION

Amyotrophic Lateral Sclerosis (ALS) is a progressive and fatal neurodegenerative disorder primarily affecting motor neurons, leading to progressive muscle weakness, atrophy and paralysis. Despite being a rare disease, ALS is the most prevalent form of motor neuron disease.<sup>[1,2]</sup>

The global prevalence of ALS is estimated at 4.42 per 100,000 individuals (95% CI: 3.92–4.96), with an incidence of 1.59 per 100,000 person-years (95% CI: 1.39–1.81).<sup>[3]</sup> Higher prevalence and incidence rates have been reported in more socioeconomically developed regions.<sup>[4]</sup> ALS typically pre-

sents with focal muscle weakness and atrophy, which spreads as the disease progresses.<sup>[2,5]</sup> It can be classified by onset site and by predominant motor neuron involvement (upper vs. lower motor neuron, UMN/LMN).<sup>[6]</sup>

Diagnosis of ALS relies on clinical history, neurological examination, electrodiagnostic studies, and imaging to exclude other mimicking conditions.<sup>[7]</sup> Early diagnosis remains a challenge due to symptom variability, the lack of definitive biomarkers, and ALS's heterogeneous early presentation, all of which contribute to frequent diagnostic delays and initial diagnostic errors.<sup>[7,8]</sup> Late or incorrect diagnosis significantly reduces the therapeutic window, limiting access to treatments and clinical trials, while also increasing the risk of inappropriate interventions,<sup>[9]</sup> with a negative impact on disease progression rate.<sup>[10]</sup>

Numerous scientific studies have explored and evaluated the factors contributing to the difficulty of diagnosing ALS in its early stages.<sup>[9,11-15]</sup> First, the site of symptom onset can influence clinical suspicion, as spinal presentation may initially mimic other diseases, such as radiculopathies, spinal myelopathies, multifocal motor neuropathies, nerve entrapment, myasthenia gravis or primary muscle disorders. Age is another determinant; younger patients are more likely to experience a diagnostic error and have a longer diagnostic delay.<sup>[15]</sup> Additionally, patients rapidly observed by a neurologist earlier have a faster diagnosis.<sup>[11,13,15]</sup> A significant consequence of ALS difficult diagnosis is the occurrence of unnecessary surgical interventions.<sup>[16,17]</sup>

This study we aim to identify ALS patients followed in our center who underwent surgical procedures due to misdiagnosis, to characterize their clinical profile and to review the literature.

## MATERIAL AND METHODS

**Study protocol** – Data prospectively collected at the ALS clinic, Centro Académico de Medicina de Lisboa, ULS de Santa Maria, between 2021 and 2024, were analysed. A standardized clinical questionnaire<sup>[18]</sup> was completed during the initial evaluation by an experienced neurologist (MdC, MOS).

Inclusion Criteria included a confirmed ALS diagnosis based on Gold Coast Criteria, disease progression on follow-up, completion of data questionnaire and informed consent. Exclusion criteria included associated dementia (due to limitations in providing essential clinical information regarding the diagnostic track), other neurological conditions, severe comorbidities,

and missing key data. An exception was made for <sup>[23]</sup> patients (7.6%) who were uncertain about the presence of fasciculations at the time of first motor symptoms.

**Subgroup Classification** – Patients were classified into two groups: Surgery Group - patients who underwent surgical procedures; non-Surgery Group - patients who did not undergo surgery related to ALS symptoms. Information was confirmed through clinical review, diagnostic tests, and surgical records.

**Variables Analysis** – We compared demographic and clinical variables between the Surgery and non-Surgery groups. Demographic variables included age at symptom onset and sex. Clinical variables included diagnostic delay (months from symptom onset to ALS diagnosis), disease progression rate, region of onset (spinal vs. non-spinal), presence of fasciculations at onset, and UMN/LMN predominance.

UMN predominance was defined by spasticity with functional impairment, and LMN predominance by weakness and atrophy without spasticity. In mixed cases, LMN predominance was assumed, based on prior literature indicating a higher likelihood of diagnostic uncertainty.<sup>[10,16]</sup>

The functional rate of progression ( $\Delta$ FS) at first visit at our ALS clinic was calculated using the Revised ALS Functional Rating Scale (ALSFRS-R) as follows:  $\Delta$ FS =  $(48 - \text{ALSFRS-R at first visit}) / \text{duration in months from symptom onset to first visit}$ .<sup>[19]</sup> Patients were classified as Slow Progressors ( $\Delta$ FS < 0.29), Intermediate Progressors (0.29 and 1.03), or Fast Progressors ( $\Delta$ FS > 1.03), following the thresholds defined in Alves et al., 2025.<sup>[19]</sup> Moreover, ALSFRS-R decay was calculated during the 3 months following the initial consultation, up to the time of the second consultation. Longer functional decay was not possible due to missing data.

To assess the influence of healthcare pathways on the diagnostic trajectory of ALS, we analysed the first specialist seen for related-ALS symptoms (neurologist, neurosurgeon, general practitioner, orthopaedic surgeon, or other). In the Surgery Group, we also documented the type of surgical procedure and the specialty of the operating physician.

**Statistical Analysis** – Continuous variables were analysed using independent t-tests (if normally distributed) or the Mann-Whitney U test (if non-normally distributed, assessed by the Shapiro-Wilk test). Categorical variables were analysed using the Chi-square test. A p-value of < 0.05 was considered statistically significant. All statistical analyses were conducted using IBM

SPSS Statistics for Windows, Version 30.0 (IBM Corp., Armonk, NY, USA).

## RESULTS

The statistical analysis included 301 ALS patients, of whom 17 (5.6%) underwent surgical procedures. The demographic and clinical characteristics of both groups are shown in Table 1, no significant differences were found between the Surgery and non-Surgery groups in terms of sex ( $p=0.35$ ) or age at onset ( $p=0.77$ ).

Diagnostic delay was significantly longer in the Surgery Group (14.95 [10.97 – 20.02] months vs. 8.99 [5.95 – 15.99] months,  $p=0.01$ ) as seen in Figure 1. Regarding disease onset, there was a significant association with spinal-onset ( $p=0.014$ ). All patients in the Surgery Group had spinal onset ALS, whereas 26.8% of the non-Surgery Group had a non-spinal onset. No significant differences were found in UMN vs. LMN predominance ( $p=0.71$ ) and for  $\Delta$ FS at diagnosis ( $p=0.453$ ). No significant differences were found in UMN vs. LMN predominance ( $p=0.708$ ) and for functional decay ( $\Delta$ FS) at diagnosis ( $p=0.453$ ). Symptoms of fasciculations at disease onset were assessed in 278 patients, no difference was found between groups ( $p=0.13$ ).

Among the 17 ALS patients (5.6%) who underwent surgery, the initial specialist varied. General practitioners (GPs) were most frequently seen first (24%), followed by orthopaedic surgeons (18%), neurologists (12%), and neurosurgeons (12%). In 6 cases (35%), the first consulted special-

ist could not be identified. Common diagnoses included lumbar stenosis (53.0%,  $n=9$ ), cervical myelopathy (24.0%,  $n=4$ ) and carpal tunnel syndrome (18.0%,  $n=3$ ). These led to surgical interventions such as spinal decompression (77.0%,  $n=13$ ), carpal tunnel release (18.0%,  $n=3$ ), and other orthopaedic procedures (6.0%,  $n=1$ ). Most surgeries (64.7%) were performed by neurosurgeons, while the remainder (35%) were conducted by orthopaedic surgeons.

### Comparing with prior studies

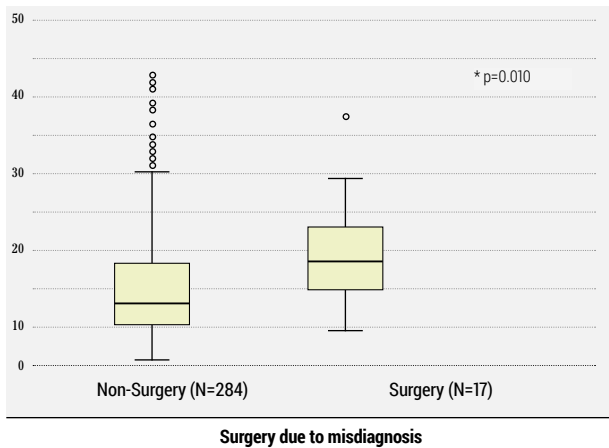
Our literature review retrieved two articles from the electronic search that investigated inappropriate surgeries in ALS.<sup>[16,17]</sup> One study reported that 7.9% of ALS patients underwent inappropriate surgical interventions.<sup>[16]</sup> Srinivasan et al, observed 13% of ALS patients underwent unnecessary procedures.<sup>[17]</sup> Our findings are consistent with these studies, confirming that patients spinal-onset ALS are often diagnosed as cervical or lumbar compressive disorders. In all three studies, spinal decompression surgeries and carpal tunnel releases were common procedures performed before an ALS diagnosis was established, as presented in Table 2. The total number of surgeries exceeded the number of patients, as some individuals underwent multiple procedures, as described before;<sup>[17]</sup> in our study, three patients underwent two surgeries related to ALS symptoms. Similar to prior findings, most patients who underwent surgery were initially evaluated by non-neurologists, particularly surgeons.

**TABLE 1.** Clinical and demographic characteristics of ALS patients in the Surgery and Non-Surgery groups.

Variable	Non-Surgery Group (N=284)	Surgery Group (N=17)	p-value
<b>Sex</b>	Male: 151 (53.2%) Female: 133 (46.8%)	Male: 11 (64.7%) Female: 6 (35.3%)	0.354 *
<b>Age at Onset (years)</b>	64.1 (52.5 – 71.8)	64.12 (52.30 – 70.54)	0.770 #
<b>Diagnostic Delay (months)</b>	8.99 (5.95 – 15.99)	14.95 (10.97 – 20.02)	0.010 #
<b>Disease Progression Rate (<math>\Delta</math>FS)</b>	0.72 (0.36 – 1.29)	0.64 (0.29 – 1.17)	0.453 #
<b>Onset region</b>	Spinal: 208 (73.2%) Nonspinal: 76 (26.8%)	Spinal: 17 (100.0%) Nonspinal: 0 (0.00%)	0.014 *
<b>UMN vs. LMN at Onset</b>	LMN: 223 (78.5%) Predominant UMN: 61 (21.5%)	LMN: 14 (82.4%) Predominant UMN: 3 (17.6%)	0.708 *
<b>Fasciculations at Onset (N=278)</b>	Yes: 83 (31.7%) No: 179 (68.3%)	Yes: 8 (50.0%) No: 8 (50.0%)	0.129 *

Values are presented as median (Q1-Q3) for continuous variables and n (%) for categorical variables.

\* Chi-Square test; # Mann-Whitney U test. Statistically significant values p-values ( $p < 0.05$ ) are noted.



**FIGURE 1.** Distribution of Diagnostic Delay (in months) in Surgery vs Non-Surgery Groups.

Boxplot with the distribution of diagnostic delay in ALS patients in Surgery and Non-Surgery groups. The Surgery Group exhibited significantly longer diagnostic delays ( $p=0.010$ )

**DISCUSSION**

Among the 301 ALS patients included in the study, 17 (5.6%) underwent surgery for symptoms that were later attributed to ALS. These patients who underwent surgery experienced a significantly longer diagnostic delay ( $p=0.010$ ), and all of them had spinal-onset ALS. However, no significant differences were found for  $\Delta$ FSS, UMN versus LMN predominance, or the presence

of fasciculations at onset. Fasciculations at onset and UMN versus LMN predominance were not approached in previous studies.

Our overall findings align with previous studies, reinforcing the persistent challenge in the early recognition of ALS—often resulting in substantial diagnostic delays and mismanagement, including unnecessary surgical procedures.<sup>[10,11,17,20]</sup> Patients in the Surgery Group had a significantly longer diagnostic delay (median: 14.95 months) compared to the non-Surgery Group, likely due to the initial difficulty in diagnosis, as previously proposed.<sup>[10]</sup> Contrary to a previous study,<sup>[10]</sup> we found no evidence of faster disease progression after the surgical intervention; however, the follow-up information in our study was limited due to missing data.

All patients in the Surgery Group had spinal-onset ALS, which shows spinal-onset is more prone to surgical interventions.<sup>[10,16,17]</sup> In this cohort, predominant UMN signs did not prevent inappropriate surgeries. Contrary to expectation, spasticity and hyperreflexia did not prompt earlier referrals to neurology, possibly due to incidental cervical cord MRI findings. Interestingly, while fasciculations associated with weakness are a hallmark of ALS and strongly suggest the disease,<sup>[21]</sup> this clinical feature was not relevant in our study, suggesting that future diagnostic red flags should strongly emphasize this finding.

The first specialist consulted significantly influences the ALS diagnostic pathway. Within our Sur-

**TABLE 2.** Inappropriate surgical interventions in ALS patients: three studies.

Reference	Surgical Cases (N)	First Specialist Consulted (%)	Diagnosis (%)	Surgery Procedures (%)
<b>This Study</b>	17 (5.6%)	Surgeon: 5 (29%) Non-Surgeon: 6 (35%) Unknown: 6 (35%)	Lumbar Sten.: 9 (45%) – 3 OrTS + 6 NS Cerv. Myelopathy: 5 (25%) - 5 NS CTS: 4 (20%) – 4 OrTS Others: 2 (10%) – 1NS + 1OS	Spinal Decomp.: 14 (70%) CTR: 4 (20%) Other: 2 (10%)
<b>Bakola et al. (2014)<sup>16</sup></b>	13 (7.9%)	Surgeon: Not specified Non-Surgeon: Not Specified	Lumbar Sten.: 7 (54%) CTS: 3 (23%) Cerv. Myelopathy: 2 (15%) Others: 1 (8%)	Spinal Decomp.: 9 (69%) CTR: 3 (23%) Others: 1 (8%)
<b>Srinivasan et al. (2006)<sup>17</sup></b>	34 (13%)	Surgeon: 12 (35%) Non-Surgeon: 3 (8.8%) Unknown: 19 (55.8%)	Knee: 12 (32.4%) Lumbar Sten.: 11 (29.7%) Cerv. Myelopathy: 3 (8.1%) CTS: 5 (13.5%) Others: 6 (16.2%)	Spinal Decomp.: 14 (37.8%) Knee surgery: 12 (32.4%) CTR: 5 (13.5%) Others: 6 (16.2%)

Data includes the number and percentage of surgical cases, type of first specialist consulted, most frequent diagnosis, and types of surgeries performed prior to ALS diagnosis. **Cerv. Myelopathy** = Cervical Myelopathy; **Lumbar Sten.** = Lumbar Spinal Stenosis; **CTS** = Carpal Tunnel Syndrome; **Spinal Decomp.** = Spinal Decompression Surgery; **CTR** = Carpal Tunnel Release; **OrTS** – surgery done by orthopedic surgeon; **NS** – surgery done by neurosurgeon; **OS** – surgery done by other surgeon (plastic surgeon).

gery group cohort, 24% of patients initially consulted general practitioners, and 29% consulted surgical specialties (orthopaedics and neurosurgery). The small sample size of the Surgery Group made a comparative analysis of diagnostic pathways infeasible.

This study has several limitations: firstly, the initial specialist consulted was unidentified in 35% of surgical cases; secondly, the single-centre design may restrict the generalizability of findings to healthcare systems with differing referral patterns; [22,23] and the limited sample size of operated patients reduces the statistical power, potentially affecting the robustness of the results.

## CONCLUSION

Beyond the clinical implications, inappropriate surgical interventions in ALS patients impose avoidable healthcare costs and psychological distress on patients and families. In our cohort, we found some issues linked to diagnostic delay, including late neurology referrals and potential overreliance on imaging. Improving interdisciplinary referral protocols and integrating neuromuscular triage tools at the primary care level could mitigate these diagnostic issues. Future research should focus on developing diagnostic red flags for early ALS, as the split-hand in patients with non-specific spinal symptoms. [24,25]

**Ethical compliance statement:** This study was approved by the Local Ethics Committee (Comissão de Ética do Centro Académico de Medicina de Lisboa, ID number 162/21) and was conducted in accordance with the Declaration of Helsinki. All patients gave signed informed consent. To ensure data privacy, all patient information was anonymized, and databases were stored securely.

**Data sharing:** Data will be shared by the authors upon reasonable request.

**Funding sources:** The author(s) received no specific funding for this work.

**Conflict of Interest:** The authors report no conflict of interest

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